

IMPORTANT DISCLOSURES



AE Investment Managers (PTY) Ltd (Registration No. 2023/249904/07) is a licensed Category II authorised financial services provider with FSP Number 54101. We specialise in discretionary investment management. A full list of these products can be found on our website, www.aeinvestmentmanagers.co.za

AE Investment Managers (PTY) Ltd does not market the products of product suppliers. The full list of our Key Individuals, Representatives, Investment Committee Members and license categories are available on our website. We do not provide financial advice. Please consult your financial adviser for any advice related services.

HOW WE PROTECT OUR CLIENTS

Our commitment to ethical and fair treatment includes our conflict of interest and confidentiality policies. If any circumstances arise where our interests may prevent us from servicing you, we will discuss this with you. Our conflict of interest manual is available on our website, www.aeinvestmentmanagers.co.za

Any information which you give us will remain confidential to AE Investment Managers in accordance with the Protection of Personal Information Act (POPIA) 4 of 2013. We do not disclose your information unless we have agreed to do so with you. Client information may be shared with third parties where such disclosure is necessary for the rendering of financial services as agreed upon, in accordance with the provisions of the FAIS Act and FICA. Similarly, confidential material provided to you may not be disclosed to third parties. Please refer to our website for more details on our POPIA disclosures and our information officer's contact details.

AE Investment Managers subscribes to the principles of the Financial Advisory and Intermediary Services Act, the FAIS General Code of Conduct and the FPI Code of Conduct and AE Investment Managers holds professional indemnity insurance with ITOO Special Risks (Pty) Ltd underwritten by The Hollard Insurance Company Limited.

Should you ever have a complaint, you should inform your adviser in writing who will then record your complaint and inform our compliance officer for action. Our complaints procedure and details of our compliance officer are available on our website. This agreement may be terminated by either of us at any time, by giving 60 (sixty) calendar days' notice in writing or by email.

WHAT WE EXPECT FROM YOU

In terms of FICA, AE Investment Managers (Pty) Ltd is an accountable institution. We are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities. As such, we are required by law to identify our clients, and so we will need all your FICA documentation.

HOW WE EARN OUR FEES

Our fees are outlined in our mandate, which must be signed before we commence any business on your behalf. We will discuss your fees before utilizing any of our solutions.

OUR CONTACT DETAILS

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